



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/458,802	12/10/99	FIKES	J 18623-014400

020350 0232/0114
TOWNSEND AND TOWNSEND AND CREW LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO CA 94111

NOT ASSIGNED

1616

DATE MAILED: 01/14/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1874.

1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.
 Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
2. The following additional claims fees are due:
 \$ 360 for _____ total claims over 20.
 \$ 624 for _____ independent claims over 3.
 \$ _____ for multiple dependent claim surcharge.
 Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unsigned
☒ does not cover the newly submitted items.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

02/24/2000 RTSEGAYE 00000135 09458302

01 FC:101	760.00 CH
02 FC:105	130.00 CH
03 FC:107	350.00 CH
04 FC:102	624.00 CH

U.S. GPO 1999 450-5875

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See 10/24

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Attn: Box Missing Parts,
Washington, D.C. 20231, on 17 Feb 2000

PATENT

Attorney Docket No. 18623-0144-00

TOWNSEND and TOWNSEND and CREW LLP
By Melinda J. Jagut

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JOHN FIKES *et al.*

Examiner:

Art Unit: 1616

Application No.: 09/458,302

Filed: December 10, 1999

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

For: INDUCING CELLULAR IMMUNE
RESPONSES TO
CARCINOEMBRYONIC ANTIGEN
USING PEPTIDE AND NUCLEIC
ACID COMPOSITIONS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date Granted dated January 14, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration and Power of Attorney in two counterparts
- 2) Copy of Notice of Missing Parts

JOHN FIKES et al.

Application No.: 09/458,302

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Please charge Deposit Account No. 20-1430 for the following fees:


Large entity: (a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$ 760.00
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	40 20 = 20 x \$18	\$ 360.00
	11- 3 = 8 x \$78	\$ 624.00
(c)	Missing Parts Surcharge	\$ 130.00

TOTAL FEES TO BE CHARGED

\$1,874.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,


Jean M. Lockyer
Reg. No. 44,879

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor

San Francisco, California 94111-3834

(415) 576-0200

Fax (415) 576-0300

JML/mcd:

rev. 3/99

SF 150886 v1

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